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ATTORNEY DOCKET NO.
P0321-P035US

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

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P.B.
10-2-03

In re Application of: Richard E. Smalley et al.

Group Art Unit: 1754

Serial No.: 09/935,994

Filed: August 23, 2001

Title: POLYMER-WRAPPED SINGLE WALL
CARBON NANOTUBES

CERTIFICATE OF MAILING

I hereby certify that this Supplemental Information Disclosure Statement with SB/08 A-B (Form 1449) and reference are being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on September 18, 2003.

GRACIE SOLIS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313

Dear Sir:

This Supplemental Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicant submits herewith patents, publications or other information of which they are aware, which it believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

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11321-P035US

PATENT

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Applicant believes that the mailing of a first Office Action on the merits has not occurred in this Application. Furthermore, no item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. Accordingly, Applicant believes that no fee is due at this time. 37 C.F.R. §§ 1.97(b)(3), (c)(1) and (e)(2). However, the Commissioner is hereby authorized to charge any fees to the Deposit Account No. 23-2426 (11321-P035US).

Respectfully submitted,

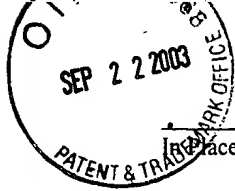
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11321-P034US 09/18/2003



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Replacement of FORM PTO-1449 (Modified)

Serial Number: 09/935,994
Applicants: Richard E. Smalley et al.
Filing Date: August 23, 2001
Group: 1754
Atty. Docket Number: 11321-P035US

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
____AAA	6,576,341 B1	06/10/2003	Davey et al.	428	376	
____ABA						
____ACA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
____ADA						
____AEA						
____AFA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

____AGA
____AHA
____AIA
____AJA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUSTIN_1\231873\1
11321-P035US 09/18/2003